



Office of Enterprise Compliance Report - Matrix
April 2006

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Personnel Update	Ongoing	Ongoing recruitment for Staff Services Analyst position and Compliance Area Manager for Health.
2. Program Activities	Ongoing	Included in Quarterly Status Report.
3. Reporting Significant Non-Compliance Issues	Ongoing	Ongoing.
4. Regulatory Review	June 2005	Review of proposed rules, regulations and applicability to CalPERS on a monthly basis. Review conducted and no significant items to report for March 2006. CCO and Legal staff conduct ongoing review of proposed regulations and combine with business line efforts.



Office of Enterprise Compliance Report - Matrix
April 2006

Attachment A

	DATE OF ASSIGNMENT	STATUS
5. Compliance Area Manager - Investments	Ongoing	Compliance Area Manager (CAM) is monitoring pre and post execution of trades daily. Weekly reporting on compliance summary to CCO. Monthly report of user access reviewed. CCO provided Senior Investment Officers for Global Equity and Global Fixed Income quarterly summary of activity.
6. Compliance Area Manager – Health	Ongoing	CCO conduct research on Health related compliance tools.